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# Coarse Topologies in Nonstandard Extensions via Separative ultrafilters

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## COARSE TOPOLOGIES IN NONSTANDARD EXTENSIONS VIA SEPARATIVE ULTRAFILTERS

BY

PAUL BANKSTON

### 0. Introduction

Let  ${}^*\mathcal{M}$  be a nonstandard extension ( $\omega_1$ -saturated will do) of a suitably large ground model  $\mathcal{M}$ . If  $A \in \mathcal{M}$  then  ${}^*A$  will denote the image of  $A$  in  ${}^*\mathcal{M}$  under the canonical embedding, and  ${}^*[A]$  will denote the set  $\{{}^*a : a \in A\}$ . If  $\langle X, \tau \rangle$  is a topological space in  $\mathcal{M}$  then  ${}^*[\tau]$  is in general no longer a topology but is a basis for what we call the *coarse topology* on  ${}^*X$ . This is one of two natural topologies one could put on  ${}^*X$  (the other, generated by  ${}^*\tau$ , is the “ $Q$ -topology” (see [1], [2], [4], [7], [8]) and is much finer) and is closely related to the “ $S$ -topology” (see [6], [8]) used in monad constructions in the setting of uniform spaces.

Our interest here is centered on the question of when  ${}^*X$  (always with the coarse topology) enjoys some of the usual separation properties. As an example, if  $\langle \mathbf{R}, \nu \rangle$  denotes the real line with its usual topology then  ${}^*\mathbf{R}$  can never be a  $T_0$ -space when  ${}^*\mathcal{M}$  is  $\omega_1$ -saturated. In fact, if  ${}^*\mathcal{M}$  is an enlargement (e.g.  ${}^*\mathcal{M}$  is  $|\mathcal{M}|^+$ -saturated) then  ${}^*X$  is never  $T_0$  for infinite  $X$ .

As far as we know, it is an open question whether  ${}^*X$  can be  $T_0$  when  $X$  is infinite and  ${}^*\mathcal{M}$  is  $\omega_1$ -saturated. However, with the help of extra set theory (notably Martin’s Axiom (MA) and the Continuum Hypothesis (CH)), we can construct extensions  ${}^*\mathcal{M}$  in which  ${}^*X$  can be  $T_0$  (even Tichonov) for a large class of spaces  $X$ .

To begin with, we confine our attention to ultrapower extensions  ${}^*\mathcal{M} = \Pi_D(\mathcal{M})$  where  $D$  is a free (that is, nonprincipal) ultrafilter on a countable set  $I$ . Then  ${}^*\mathcal{M}$  is automatically  $\omega_1$ -saturated (since  $D$  is countably incomplete) and its elements are equivalence classes  $[f] = [f]_D$  of functions  $f \in {}^I\mathcal{M}$ ;

$$[f] = \{g \in {}^I\mathcal{M} : \{i \in I : g(i) = f(i)\} \in D\}.$$

In the case of the nonstandard real line, for example, we have  $[f] < [g]$  iff  $\{i \in I : f(i) < g(i)\} \in D$ . For topological spaces  $\langle X, \tau \rangle$ , if  $U \in \tau$  then  ${}^*U = \{[f] : \{i : f(i) \in U\} \in D\}$ . (Note that  $X$  and  ${}^*[X]$  are naturally homeomorphic ( $x \mapsto {}^*x$  is a homeomorphism) and that  ${}^*[X] \subseteq {}^*X$  is a dense subset. This is true for any extension  ${}^*\mathcal{M}$ .)

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The ultrafilters of special interest to us for the purposes of separation properties are the so-called “separative” ultrafilters of B. Scott [10] and will be discussed starting in §2. The reader is assumed to be conversant with some of the more well known properties of ultrafilters on a countable set (e.g., selective ultrafilters,  $P$ -points, etc.) as well as the Rudin-Keisler order  $\leq_{RK}$  (see [3], [5], [9]). Our set theoretic notation is standard:  $|A|$  is the cardinality of  $A$ ,  ${}^B A$  is the set of functions  $f : B \rightarrow A$ , and cardinals are initial ordinals (which are the sets of their ordinal predecessors). Thus  $\alpha^\beta = |{}^\beta \alpha|$  for cardinals  $\alpha, \beta$ . As usual,  $\omega = \{0, 1, 2, \dots\}$ , and  $c = 2^\omega$ .

### 1. General Properties of $*X$

We will assume always that  $*\mathcal{M}$  is an  $\omega_1$ -saturated extension (e.g., a countably incomplete ultrapower extension) and that  $\langle X, \tau \rangle$  is an infinite topological space. By way of an introductory remark, it is easy to see that  $*[\tau]$  is not in general a topology, even though it is in natural one-one correspondence with  $\tau$ . Indeed, let  $D$  be a free ultrafilter on  $\omega$  (in terms of the Stone-Ćech functor  $\beta$ ,  $D \in \beta(\omega) \setminus \omega$ , where  $\omega$  has the discrete topology), and let  $\tau$  be the discrete topology on  $X = \omega$ . Then

$$\cup \{*\{x\} : x \in X\} = *[X] \notin *[\tau]$$

since  $*[X]$  is countably infinite and members of  $*[\tau]$  are either finite or of cardinal  $c$ . (If  $A \in \mathcal{M}$  is a countably infinite set then  $|*A| = |\Pi_D(A)| = c$ , by a well known property of ultraproducts (see, e.g., [5]).) Thus  $*[\tau]$  is not closed under arbitrary unions. It is also worthy of note that  $*[\sigma]$  needn't basically generate  $*[\tau]$  when  $\sigma$  is a basis for  $\tau$ . For let  $\langle X, \tau \rangle$  be as above and let  $\sigma = \{\{x\} : x \in X\}$ . Then  $\sigma$  is a basis for the discrete topology; however any union of members of  $*[\sigma]$  will be a subset of  $*[X]$ . (The situation is quite different in the case of the  $Q$ -topology:  $*\sigma$  is always a basis for  $*\tau$  when  $\sigma$  is a basis for  $\tau$ .)

Our first proposition is an easy consequence of the definitions involved.

**1.1 PROPOSITION.**  $*X \setminus *[X]$  is nonempty and self-dense (i.e., without isolated points). Thus  $*X$  is never discrete.

The following lemma is true for general  $\omega_1$ -saturated extensions, and is a basic result of model theory (see, e.g., [5]).

**1.2 LEMMA.** Let  $\langle A_n : n < \omega \rangle$  be a sequence of subsets of  $X$  and let  $m < \omega$ . If  $|\bigcap_{n < k} A_n| \geq m$  for each  $k < \omega$  then  $|\bigcap_{n < \omega} *A_n| \geq m$ .

**1.3 THEOREM.**  $*X$  is a nonmetrizable Baire space which is Lindelöf just in case it is compact.

*Proof.* This kind of argument has been employed before (see [1], [2], [5]), so we will only sketch it here.

To see that  $*X$  is Baire, let  $\langle M_n : n < \omega \rangle$  be a family of dense open subsets of  $*X$ , and let  $U \in \tau$  be nonempty. We show  $*U \cap (\cap_{n < \omega} M_n) \neq \emptyset$ . First find nonempty  $U_0 \in \tau$  such that  $*U_0 \subseteq *U \cap M_0$ . Using induction, we can find nonempty  $U_{n+1} \in \tau$  such that  $*U_{n+1} \subseteq *U_n \cap (\cap_{k \leq n} M_k)$ . By (1.2),  $\emptyset \neq \cap_{n < \omega} *U_n \subseteq \cap_{n < \omega} M_n$ .

$*X$  is nonmetrizable: for if  $y \in *X \setminus *[X]$  and if  $\langle U_n : n < \omega \rangle \in {}^\omega \tau$  is such that  $y \in \cap_{n < \omega} *U_n$  then for each  $k < \omega$ ,  $\cap_{n < k} U_n$  is infinite (since  $*X \setminus *[X]$  is self-dense). Therefore by (1.2),  $|\cap_{n < \omega} *U_n| \geq 2$ ; so in fact,  $*X$  fails strongly to be first countable.

Finally, suppose  $*X$  is Lindelöf, and let  $\nu$  be an open cover of  $*X$ . We can assume  $\nu$  is countable and consists of basic open sets  $\langle *U_n : n < \omega \rangle$ . Let  $A_n = X \setminus U_n$ . Then  $\cap_{n < \omega} *A_n = \emptyset$ , so by (1.2) there is a  $k < \omega$  with  $\cap_{n < k} *A_n = \emptyset$ . That is,  $\langle *U_n : n < k \rangle$  is a finite subcover of  $\nu$ . ■

A point  $x \in X$  is a *weak-P-point* if  $x$  is not in the closure of any countable subset of  $X \setminus \{x\}$ .  $X$  is a *weak-P-space* if every point is a weak-P-point, i.e., if all countable subsets are closed. Clearly, a weak-P-space is  $T_1$  and “anticompact” (i.e., no infinite subset is compact); and a  $P$ -space which is  $T_1$  is a weak-P-space. We will be concerned with these classes of spaces in the next section; for now we record the following.

1.4 PROPOSITION.  *$*X$  is not a weak-P-space.*

*Proof.* Let  $A \subseteq X$ . Then  $*[A]$  is dense in  $*A \subseteq *X$ . If  $*[A]$  were closed in  $*X$  then  $*[A]$  would equal  $*A$ , whence  $A$  would be finite. ■

1.5 PROPOSITION. *If  $X$  is compact then  $*X$  is compact but not  $T_1$ .*

*Proof.* Let  $\langle *U_i : i \in I \rangle$  be a basic open cover of  $*X$ . Then  $\langle U_i : i \in I \rangle$  is an open cover of  $X$ . If  $U_1, \dots, U_n$  is a finite subcover then  $*X = *(U_1 \cup \dots \cup U_n) = *U_1 \cup \dots \cup *U_n$ , so  $*X$  is compact.

For each  $x \in X$ , let  $\mu(x) = \cap \{ *U : x \in U \in \tau \}$  denote the “monad” of  $x$ . If  $*X$  were  $T_1$  then  $\mu(x)$  would be  $\{ *x \}$  for each  $x \in X$ ; hence given  $y \in *X \setminus *[X]$  and  $x \in X$  there would be a neighborhood  $U$  of  $x$  with  $y \notin *U$ . By compactness, then, there would be a finite subcollection of the  $U$ ’s covering  $X$ ; consequently  $y \notin *X$ , an absurdity. ■

1.6 COROLLARY. *If  $*X$  is  $T_1$  then  $X$  is anticompat.*

*Proof.* Suppose  $A \subseteq X$  is compact. Then  $*A$  is compact  $T_1$ , whence  $A$  is finite by (1.5). ■

## 2. Separative Ultrapower Extensions

For the rest of the paper, we assume  $^*\mathcal{M} = \Pi_D(\mathcal{M})$  for some  $D \in \beta(I) \setminus I$ ,  $I$  countable (discrete).

**2.1 PROPOSITION.** *Suppose  $^*X$  is  $T_0$ . Then  $D$  is “separative”: for each pair of functions  $f, g \in {}^I I$  which are distinct (mod  $D$ ) (i.e.,  $\{i \in I : f(i) \neq g(i)\} \in D$ ) there is a  $J \in D$  such that  $f[J] \cap g[J] = \emptyset$ .*

*Proof.* Separative ultrafilters are introduced and studied in [10]. Suppose  $f, g \in {}^I I$  are distinct (mod  $D$ ) and let  $h : I \rightarrow X$  be one-one. Then  $h \circ f, h \circ g$  are distinct (mod  $D$ ). Since  $^*X$  is  $T_0$ , it is easy to see that there is a set  $J \in D$  such that  $(h \circ f)[J] \cap (h \circ g)[J] = \emptyset$ . Thus  $f[J] \cap g[J] = \emptyset$ . ■

**2.2 Remark.** It is straightforward to show that  $D \in \beta(I)$  is separative iff whenever  $f, g : I \rightarrow I$  are distinct (mod  $D$ ) then their Stone-Čech liftings disagree at  $D$  (i.e.,  $\beta(f)(D) \neq \beta(g)(D)$ ). (This condition is in fact the definition of separativity used in [10].)

We record the basic facts about separative ultrafilters which will be of use to us here.

**2.3 THEOREM (B. Scott [10]).** (i) *Selective ultrafilters are separative (hence  $MA$  implies the existence of separative ultrafilters).*

(ii) *Separativity and being a  $P$ -point are not simply related.*

(iii) *If  $D$  is separative and  $E \leq_{RK} D$  then  $E$  too is separative.*

(iv) *If  $D$  and  $E$  are separative  $P$ -points and there is no  $F \in \beta(I) \setminus I$  with  $F \leq_{RK} D$  and  $F \leq_{RK} E$  then*

$$D \cdot E = \{R \subseteq I \times I : \{i : \{j : \langle i, j \rangle \in R\} \in E\} \in D\}$$

*is separative (but not a  $P$ -point since  $D \cdot E$  is not minimal in the Rudin-Frolík ordering:  $D <_{RF} D \cdot E$ ).*

(v)  *$D \cdot D$  is not separative.*

By (2.1, 2.3(v)) we know immediately that  $^*X$  is not  $T_0$  whenever  $X$  is infinite and  $^*\mathcal{M} = \Pi_{D \cdot D}(\mathcal{M})$ . Since there is no known proof in ZFC that separative ultrafilters exist, we do not know “absolutely” that coarse topologies can ever have any reasonable separation properties. But, given that  $D$  is a separative ultrafilter, quite a lot can be said in this connection.

**2.4 PROPOSITION.** *If  $D$  is separative and  $X$  is a Hausdorff  $P$ -space then  $^*X$  is Hausdorff.*

*Proof.* Let  $[f], [g]$  be distinct and let  $J \in D$  be such that  $f[J] \cap g[J] = \emptyset$ . Since  $X$  is a Hausdorff  $P$ -space and  $f[J], g[J]$  are countable,

there are disjoint open sets  $U, V \subseteq X$  with  $f[J] \subseteq U$ ,  $g[J] \subseteq V$ . Thus  $[f] \in {}^*U$ ,  $[g] \in {}^*V$ , and  ${}^*U \cap {}^*V = \emptyset$ . ■

Let  $X$  be any  $T_1$ -space and let  $w(X)$  denote the Wallman compactification of  $X$  (see [11]). Points of  $w(X)$  are ultrafilters of closed subsets of  $X$ , and basic open sets are of the form  $U^\# = \{p \in w(X) : U \text{ contains a member of } p\}$  for  $U \in \tau$ . We identify  $x \in X$  with the fixed ultrafilter  $p_x$  of closed supersets of  $\{x\}$  and define  $\varphi : \beta(\omega) \times {}^\omega X \rightarrow w(X)$  by

$$\varphi(D, f) = \{A : A \subseteq X \text{ is closed and } f^{-1}[A] \in D\}$$

(easily seen to be a member of  $w(X)$ ).

**2.5 LEMMA.** *Let  $\varphi_D : {}^\omega X \rightarrow w(X)$  be given by  $\varphi_D(f) = \varphi(D, f)$ . If  $X$  is a weak- $P$ -space and  $D$  is a separative ultrafilter then  $\varphi_D$  induces an embedding of  ${}^*X$  into  $w(X)$  which leaves the points of  $X$  fixed (i.e.,  $\varphi_D({}^*x) = p_x$ ).*

*Proof.* Let  $f, g : \omega \rightarrow X$  be equal (mod  $D$ ). If  $A \in \varphi_D(f)$  then  $f^{-1}[A] \in D$ . Now  $g^{-1}[A] \supseteq f^{-1}[A] \cap \{n : f(n) = g(n)\} \in D$ , so  $A \in \varphi_D(g)$ . Thus  $\varphi_D$  is well defined on  ${}^*X$ . Let  $U \in \tau$ . Then  $[f] \in \varphi_D^{-1}[U^\#]$  iff there is closed  $A \subseteq U$  such that  $f^{-1}[A] \in D$  iff there is a closed  $A \subseteq U$  such that  $[f] \in {}^*A$  iff  $[f] \in {}^*U$ , since  $f[\omega]$  is countable hence closed. Thus  $\varphi_D$  is continuous.

To show  $\varphi_D[{}^*U] = \varphi_D[{}^*X] \cap U^\#$ , we note that  $\varphi_D([f]) \in \varphi_D[{}^*U]$  iff there is a closed  $A \subseteq U$  such that  $f^{-1}[A] \in D$  iff  $\varphi_D([f]) \in U^\#$ , again since countable sets are closed.

We need to show  $\varphi_D$  is one-one. Suppose  $f, g : \omega \rightarrow X$  are distinct (mod  $D$ ) and let  $J \in D$  be such that  $f[J] \cap g[J] = \emptyset$ . Then  $f[J] \in \varphi_D([f])$  and  $g[J] \in \varphi_D([g])$ , whence these ultrafilters of closed sets are also distinct.

Finally, it is easy to see that points of  $X$  are fixed by  $\varphi_D$ , so the proof is complete. ■

**2.6 THEOREM.** *Let  $D$  be a separative ultrafilter.*

- (i) *If  $X$  is a weak- $P$ -space then  ${}^*X$  is  $T_1$ .*
- (ii) *If  $X$  is a normal weak- $P$ -space then  ${}^*X$  is Tichonov.*
- (iii) *If  $X$  is a normal  $P$ -space then  ${}^*X$  is “strongly 0-dimensional” (i.e., disjoint zero sets are separable via clopen sets; equivalently,  $\beta(X)$  is “0-dimensional” in the sense of weak inductive dimension).*
- (iv) *If  $X$  is an extremally disconnected normal weak- $P$ -space then  ${}^*X$  is extremally disconnected.*

*Proof.* (i) By (2.5),  ${}^*X$  embeds in  $w(X)$ , a compact  $T_1$ -space.

(ii) If  $X$  is normal then  $w(X) \cong \beta(X)$ .

(iii) Regular  $P$ -spaces are strongly 0-dimensional, hence their Stone-Čech compactifications are 0-dimensional. Now we can make believe that  $X \subseteq {}^*X \subseteq \beta(X)$ . Thus  $\beta({}^*X) \cong \beta(X)$ , whence  ${}^*X$  is strongly 0-dimensional.

(iv)  $\beta(X)$  is extremally disconnected and  ${}^*X$  is a dense subspace. ■

2.7 Question. Can  $*X$  ever be Lindelöf  $T_0$ ?

2.8 THEOREM. Let  $X$  be a normal weak- $P$ -space such that  $*X$  is Lindelöf  $T_0$ . Then  $|X| > c$ .

*Proof.* Since  $*X$  is  $T_0$ ,  $D$  is separative. Thus we can consider  $X \subseteq *X \subseteq \beta(X)$ . By (1.3),  $*X$  is compact, hence equal to  $\beta(X)$ . Let  $A \subseteq X$  be countable discrete. Then  $A$  is closed in  $X$ , hence  $C^*$ -embedded there (see [11]). This says that  $A$  is a countable  $C^*$ -embedded subset of  $\beta(X)$ ; whence the closure of  $A$  in  $\beta(X)$  is homeomorphic to  $\beta(\omega)$ , whose cardinality is well known to be  $2^c$ . Thus  $|*X| \geq 2^c$ , so  $|X| > c$ . ■

2.9 Question. Is it possible for  $*X$  to be normal? Paracompact?

Motivated by this question, we now turn to the special case of spaces  $*X$  where  $X$  is countable discrete ( $X = \omega$ ). First of all notice that by (2.1) and (2.6),  $D$  is separative iff  $*\omega$  is  $T_0$  iff  $*\omega$  is an extremally disconnected strongly 0-dimensional space iff  $\beta(*\omega) \approx \beta(\omega)$ . (In [1] it is proved by contrast that topological ultraproducts which are not discrete can never be extremally disconnected unless their cardinalities exceed a measurable cardinal.) A weak affirmative answer to (2.9) is the following.

2.10 THEOREM (CH). Let  $D$  be a separative  $P$ -point (e.g., a selective ultrafilter). Then  $*\omega \setminus *[\omega]$  is hereditarily paracompact.

*Proof.* We first note that in the embedding  $\varphi_D : *\omega \rightarrow \beta(\omega)$ , the image of  $\varphi_D$  is precisely  $\{E \in \beta(\omega) : E \leq_{RK} D\}$ . (Indeed,  $J \in \varphi_D([f])$  iff  $f^{-1}[J] \in D$ , so  $\varphi_D([f]) \leq_{RK} D$ . On the other hand, if  $E \leq_{RK} D$  then there is some  $f : \omega \rightarrow \omega$  such that  $J \in E$  iff  $f^{-1}[J] \in D$ . Hence  $E = \varphi_D([f])$ .) Thus if  $D$  is a  $P$ -point as well as being separative then  $*\omega \setminus *[\omega]$  is a  $P$ -space. Now  $*\omega$  has an open basis of cardinality  $c = \omega_1$ , so every subset of  $*\omega \setminus *[\omega]$  is a  $P$ -space which is “ $\omega_1$ -Lindelöf” (i.e., every open cover has a subcover of cardinality less than or equal to  $\omega_1$ ). We are done once we prove the claim (also proved in [1]): If  $X$  is an  $\omega_1$ -Lindelöf regular  $P$ -space then every open cover of  $X$  refines to an open partition of  $X$ . To see this, simply take an open cover  $\nu$  which we can assume to consist of clopen sets and to have cardinality  $\omega_1$ ; say  $\nu = \langle U_\xi : \xi < \omega_1 \rangle$ . Let  $V_\xi = U_\xi \setminus (\cup_{\eta < \xi} U_\eta)$ . Then  $\langle V_\xi : \xi < \omega_1 \rangle$  is an open refinement of  $\nu$ , the members of which are pairwise disjoint. ■

We close this section with a simple observation about covering properties in  $*\omega$  for separative  $D$ .

2.11 PROPOSITION. If  $D$  is separative then  $*\omega$  is anticompact, and neither  $*\omega$  nor  $*\omega \setminus *[\omega]$  is Lindelöf.

*Proof.* A compact subset of  $*\omega$  is closed in  $\beta(\omega)$ , and infinite closed

subsets of  $\beta(\omega)$  are well known to have cardinality  $2^c$ . Since  $|\ast\omega| = c$ , no infinite subset can be compact.

Now one of  $\ast\omega$ ,  $\ast\omega \setminus \ast[\omega]$  is Lindelöf just in case the other is. If  $\ast\omega$  were Lindelöf, it would, by (1.3), be compact. Impossible. ■

### 3. Iterated Ultrapowers

Suppose  $D, E$  are free ultrafilters on (countable) sets  $I, J$  respectively and let  $\mathcal{M}$  be given. Then, letting  ${}^{(D)}\mathcal{M}$  denote  $\Pi_D(\mathcal{M})$  (to avoid confusion, we replace asterisks with the ultrafilter in brackets) we can iterate the extension process and ask whether  ${}^{(D)(E)}\mathcal{M}$  is an ultrapower extension of  $\mathcal{M}$ . The answer is well known to be “yes”;  ${}^{(D)(E)}\mathcal{M}$  is naturally isomorphic (as a membership structure) to  ${}^{(D \cdot E)}\mathcal{M}$ . The isomorphism is defined as follows. First define  $\psi : {}^I(\mathcal{M}) \rightarrow {}^{I \times J}\mathcal{M}$  by  $\psi(f)(\langle i, j \rangle) = f(i)(j)$ . One can then check quite easily that  $\psi$  induces an isomorphism

$$\bar{\psi} : {}^{(D)(E)}\mathcal{M} \rightarrow {}^{(D \cdot E)}\mathcal{M}, \quad \text{where } \bar{\psi}([f]_D) = [\psi(f)]_{D \cdot E}.$$

Now a natural question to ask is whether  $\bar{\psi}$  further induces homeomorphisms between corresponding coarse topologies (as is the case with the  $Q$ -topology (see [1])). It is easy to see that, for  $U \in \tau$ ,  $\bar{\psi}^{-1}[(^{D \cdot E})U] = {}^{(D)(E)}U$ , so  $\bar{\psi} \upharpoonright {}^{(D)(E)}X$  is a continuous bijection onto  ${}^{(D \cdot E)}X$ . In answer to the question of whether  $\bar{\psi} \upharpoonright {}^{(D)(E)}X$  is always a homeomorphism, we have the following.

**3.1 PROPOSITION.** *Let  $D, E$  be free ultrafilters on  $\omega$ . Then  $\bar{\psi} \upharpoonright {}^{(D)(E)}\omega$  is not an open map.*

*Proof.* Since  $\omega$  has the discrete topology,  ${}^{(E)}[\omega] = \bigcup_{n < \omega} {}^{(E)}\{n\}$  is open in  ${}^{(E)}\omega$ , hence  ${}^{(D)(E)}[\omega]$  is open in  ${}^{(D)(E)}\omega$ . Let  $f : \omega \rightarrow {}^{(E)}\omega$  be given by  $f(m) = {}^{(E)}m$ . Then  $\{m : f(m) \in {}^{(E)}[\omega]\} = \omega \in D$ , so  $[f]_D \in {}^{(D)(E)}\omega$ . Now  $\bar{\psi}([f]_D) = [g]_{D \cdot E}$  where  $g(m, n) = m$ , and  $[h]_{D \cdot E} \in \bar{\psi}({}^{(D)(E)}[\omega])$  iff

$$\{m : \{n : h(m, n) = p\} \in E \text{ for some } p\} \in D.$$

If  ${}^{(D \cdot E)}J$  is any basic open set containing  $[g]_{D \cdot E}$  then  $J \in D$ , hence  $J$  is infinite. Since both  $D$  and  $E$  are free ultrafilters, we can find  $k : \omega \times \omega \rightarrow J$  such that  $\{m : \{n : k(m, n) = p\} \in E \text{ for some } p\} \notin D$ . Thus  ${}^{(D \cdot E)}J \not\subseteq \bar{\psi}({}^{(D)(E)}[\omega])$ , hence  $\bar{\psi}({}^{(D)(E)}[\omega])$  is not an open set. ■

**3.2 LEMMA.** *Let  $D, E$  be free ultrafilters on  $\omega$ . Then  ${}^{(D)(E)}\omega$  is not a regular space.*

*Proof.* Look at the proof of (3.1) above. If  $U$  is any basic neighborhood of  $[f]_D$  which is contained in  ${}^{(D)(E)}\omega$  then  $U$  must be of the form  ${}^{(D)(E)}[J]$  for some  $J \in D$ . The closure of this set in  ${}^{(D)(E)}\omega$  is easily seen to be  ${}^{(D)(E)}J$ . Again, since both  $D$  and  $E$  are free, we can find  $[g]_D \in {}^{(D)(E)}J$  such that



$\{n : g(n) \text{ is not constant (mod } E)\} \in D$ . Thus  ${}^{(D)(E)}[\omega]$  is an open set containing  $[f]_D$  which does not contain the closure of any open set containing  $[f]_D$ . ■

The following shows that, under CH,  ${}^{(D)(E)}X$  and  ${}^{(D \cdot E)}X$  can have easily distinguishable topological types.

**3.3 THEOREM (CH).** *There are ultrafilters  $D, E$  on  $\omega$  such that  ${}^{(D \cdot E)}\omega$  is regular, but  ${}^{(D)(E)}\omega$  is not regular.*

*Proof.* Using CH and Theorem (9.13) of [5] there are nonisomorphic selective ultrafilters  $D, E$  on  $\omega$ . Since both are minimal in the Rudin-Keisler ordering, they satisfy the hypothesis of (2.3 (iv)). Thus  $D \cdot E$  is separative; so by (2.6),  ${}^{(D \cdot E)}\omega$  is a Tichonov space. However, by (3.2),  ${}^{(D)(E)}\omega$  fails to be even regular. ■

**3.4 Remark.** Under the CH, the converses of (2.4) and (2.6 (i)) fail: there is a Hausdorff space  $X$ , not a weak- $P$ -space, and an ultrafilter  $D \in \beta(\omega) \setminus \omega$  such that  ${}^{(D)}X$  is Hausdorff. For let  $D, E$  be as in (3.3), and let  $X = {}^{(E)}\omega$ . Since  ${}^{(D \cdot E)}\omega$  is Tichonov, hence Hausdorff, and the natural bijection  $\bar{\psi} \upharpoonright {}^{(D)(E)}\omega$  is continuous, we know that  ${}^{(D)}X$  is also Hausdorff. But  $X$  fails to be a weak- $P$ -space by (1.4).

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